



Patent  
Attorney's Docket No. 032304-020

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )

John F. Shanley ) Group Art Unit: 3763

Application No.: 09/867,382 ) Examiner: Mendez, Manuel A.

Filed: May 31, 2001 ) Confirmation No.: 7984

For: EXPANDABLE MEDICAL DEVICE )  
DELIVERY SYSTEM AND METHOD )

FOURTH INFORMATION DISCLOSURE STATEMENT RECEIVED

OCT 03 2003

TECHNOLOGY CENTER R3700

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicant hereby submits the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

U.S. Documents

5,624,411	Tuch	04-29-1997
6,506,437	Harish et al.	01-14-2003
6,544,544	Hunter et al.	04-08-2003
6,558,733	Hossainy et al.	05-06-2003
US 2002/0123801	Pacetti et al.	09-05-2002
US 2002/0142039	Claude	10-03-2002

Foreign Documents

WO 99/16386	PCT	04-08-1999
WO 00/69368	PCT	11-23-2000
EP 0 747 069	Europe	12-11-1996
EP 1 118 325	Europe	07-25-2001

Primary Patent Ex. Sept 30, 2004  
Att. 3763

(09/03)

ATTY DOCKET NO.

APPLICATION NO

P006 D1

09/867,382

APPLICANT

John F. Shanley

FILING DATE

May 31, 2001

GROUP

3763

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Sheet 1 of 1

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	6,706,062	3-16-04	Vardi et al.			
	2.						
	3.						
	4.						
	5.						
	6.						
	7.						
	8.						
	9.						
	10.						
	11.						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	YES	NO
	16.								
	17.								
	18.								
	19.								
	20.								
	21.								
	22.								

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

24.	
25.	
26.	
27.	

EXAMINER

DATE CONSIDERED

Sept 30, 2004

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for forms 1449A/PTO &amp; 1449B/PTO

# FIRST INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Attorney's Dkt. No. POOL D1	Application No. 09/867,382
Applicant Shanley	Q P E
Filing Date 5-31-01	SEP 07 2004
	Group 3763

## U.S. PATENT DOCUMENTS

Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (mm-dd-yyyy)
	US-20020068969		Shanley et al.	
	US-20020082680		Shanley et al.	06/27/02
	US-20030068355		Shanley et al.	
	US-20030199970		Shanley	
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	US-6027526		Limon et al.	
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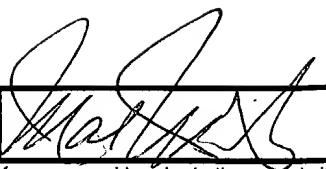
Examiner Initials	Date Considered	Sept 30, 2004

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Substitute for forms 1449A/PTO & 1449B/PTO		Attorney's Dkt. No. Poble D1	Application No. 09/867,382
<b>FIRST INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Applicant Shantley	O I P E SEP 07 2004 PCT/US/04/043511 GROUP 3163
		Filing Date 5-31-01	

**FOREIGN PATENT DOCUMENTS**

Examiner (Initials)	Document Number	Kind Code (if known)	Country	Publication Date (mm-dd-yyyy)	Translation Yes	Translation No
X	EP-0566807		EPO	10/27/93		
	EP-1222941		EPO	07/17/02		
	WO-04043510		PCT	05/27/04		
	WO-04043511		PCT	05/27/04		
X	WO-9805270		PCT	02/12/98		
X	WO-9936002		PCT	7/22/99		

Examiner Initials		Date Considered	Sept 30, 2004
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